

FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.
1737.2290009APPLICATION NO.
09/721,107FIRST NAMED INVENTOR
Dominik M. WiktorFILING DATE
November 22, 2000ART UNIT
3732

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA1	2,070,073	Feb., 1937	Walton			
	AB1	2,701,559	Feb., 1955	Cooper			
	AC1	2,854,982	Oct., 1958	Pagano			
	AD1	2,854,983	Oct., 1958	Baskin			
	AE1	3,105,492	Oct., 1963	Jeckel			
	AF1	3,155,095	Nov., 1964	Brown			
	AG1	3,284,762	Nov., 1966	Kompanek			
	AH1	3,334,629	Aug., 1967	Cohn			
	AI1	3,526,005	Sep., 1970	Bokros et al.			
	AJ1	3,540,431	Nov., 1970	Mobin-Uddin			
	AK1	3,562,820	Feb., 1971	Braun			
	AL1	3,599,641	Aug., 1971	Sheridan			
	AM1	3,657,744	Apr., 1972	Ersek			
	AN1	3,713,175	Jan., 1973	Weisman			
	AO1	3,714,671	Feb., 1973	Edwards et al.			
	AP1	3,774,596	Nov., 1973	Cook			
	AR1	3,834,394	Sep., 1974	Hunter et al.			
	AS1	3,858,441	Jan., 1975	Comeau			
	AT1	3,868,956	Mar., 1975	Alfidi et al.			
	AU1	3,874,388	Apr., 1975	King et al.			
	AV1	3,882,845	May., 1975	Bucalo			
	AW1	3,889,685	Jun., 1975	Miller, Jr. et al.			
	AX1	3,893,344	Jul., 1975	Dantlgraber et al.			
	AY1	3,894,974	Jul., 1975	Hunter et al.			
RL	AZ1	3,952,747	Apr., 1976	Kimmell, Jr.			

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AA2	3,968,800	Jul., 1976	Vilasi		
AB2	3,993,078	Nov., 1976	Bergentz et al.		
AC2	4,038,702	Aug., 1977	Sawyer		
AD2	4,038,703	Aug., 1977	Bokros		
AE2	4,047,252	Sep., 1977	Liebig et al.		
AF2	4,056,854	Nov., 1977	Boretos et al.		
AG2	4,061,134	Dec., 1977	Samuels et al.		
AH2	4,065,816	Jan., 1978	Sawyer		
AI2	4,076,285	Feb., 1978	Martinez		
AJ2	4,080,706	Mar., 1978	Heilman et al.		
AK2	4,105,022	Aug., 1978	Antoshkiw et al.		
AL2	4,106,129	Aug., 1978	Carpentier et al.		
AM2	4,130,904	Dec., 1978	Whelen		
AN2	4,140,126	Feb., 1979	Choudhury		
AO2	4,159,719	Jul., 1979	Haerr		
AP2	4,183,102	Jan., 1980	Guiset		
AR2	4,190,909	Mar., 1980	Ablaza		
AS2	4,195,637	Apr., 1980	Gruntzig et al.		
AT2	4,198,982	Apr., 1980	Fortner et al.		
AU2	4,214,587	Jul., 1980	Sakura, Jr.		
AV2	4,276,132	Jun., 1981	Fettel et al.		
AW2	4,295,464	Oct., 1981	Shihata		
AX2	4,299,226	Nov., 1981	Banka		
AY2	4,300,244	Nov., 1981	Bokros		
AZ2	4,313,231	Feb., 1982	Koyamada		

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AA3	4,319,363	Mar., 1982	Ketharanatha			
AB3	4,323,994	Apr., 1982	Coogler			
AC3	4,328,811	May., 1982	Fogarty			
AD3	4,338,942	Jul., 1982	Fogarty			
AE3	4,340,046	Jul., 1982	Cox			
AF3	4,343,048	Aug., 1982	Ross et al.			
AG3	4,343,049	Aug., 1982	Fettel et al.			
AH3	4,390,599	Jun., 1983	Broyles			
AI3	4,402,307	Sep., 1983	Hanson et al.			
AJ3	4,403,612	Sep., 1983	Fogarty			
AK3	4,448,195	May., 1984	LeVeen et al.			
AL3	4,479,497	Oct., 1984	Fogarty et al.			
AM3	4,483,340	Nov., 1984	Fogarty et al.			
AN3	4,493,711	Jan., 1985	Chin et al.			
AO3	4,494,531	Jan., 1985	Gianturco			
AP3	4,503,569	Mar., 1985	Dotter			
AR3	4,512,338	Apr., 1985	Balko et al.			
AS3	4,531,933	Jul., 1985	Norton et al.			
AT3	4,550,447	Nov., 1985	Seller Jr. et al.			
AU3	4,553,545	Nov., 1985	Maass et al.			
AV3	4,560,374	Dec., 1985	Hammerslag			
AW3	4,562,596	Jan., 1986	Kornberg			
AX3	4,572,186	Feb., 1986	Gould et al.			
AY3	4,577,631	Mar., 1986	Kreamer			
AZ3	4,580,568	Apr., 1986	Gianturco			

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AA4	4,586,505	May., 1986	Sisson et al.		
AB4	4,601,713	Jul., 1986	Faqua		
AC4	4,604,762	Aug., 1986	Robinson		
AD4	4,617,932	Oct., 1986	Kornberg		
AE4	4,619,246	Oct., 1986	Molgaard-Nielsen et al.		
AF4	4,619,261	Oct., 1986	Guerriero		
AG4	4,641,653	Feb., 1987	Rockey		
AH4	4,643,184	Feb., 1987	Mobin-Uddin.		
AI4	4,647,416	Mar., 1987	Seller, Jr. et al.		
AJ4	4,649,922	Mar., 1987	Wiktor		
AK4	4,650,466	Mar., 1987	Luther		
AL4	4,655,771	Apr., 1987	Wallsten		
AM4	4,660,559	Apr., 1987	McGregor et al.		
AN4	4,660,560	Apr., 1987	Klein		
AO4	4,665,918	May., 1987	Garza et al.		
AP4	4,670,734	Jun., 1987	Caddock		
AR4	4,673,409	Jun., 1987	Van Kampen		
AS4	4,676,241	Jun., 1987	Webb et al.		
AT4	4,680,031	Jul., 1987	Alonso		
AU4	4,681,110	Jul., 1987	Wilkor		
AV4	4,699,611	Oct., 1987	Bowden		
AW4	4,704,126	Nov., 1987	Baswell et al.		
AX4	4,705,517	Nov., 1987	DiPisa, Jr.		
AY4	4,706,671	Nov., 1987	Weinrib		
AZ4	4,710,181	Dec., 1987	Fuqua		

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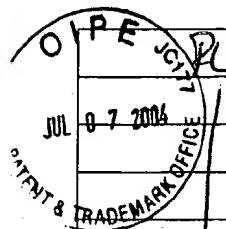
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AA5	4,723,549	Feb., 1988	Wholey et al.		
AB5	4,731,054	Mar., 1988	Billeter et al.		
AC5	4,733,665	Mar., 1988	Palmaz		
AD5	4,739,762	Apr., 1988	Palmaz		
AE5	4,740,207	Apr., 1988	Kreamer		
AF5	4,760,849	Aug., 1988	Kropf		
AG5	4,762,128	Aug., 1988	Rosenbluth		
AH5	4,767,418	Aug., 1988	Deininger et al.		
AI5	4,768,507	Sep., 1988	Fischell et al.		
AJ5	4,771,773	Sep., 1988	Kropf		
AK5	4,775,426	Oct., 1988	Murley et al.		
AL5	4,776,337	Oct., 1988	Palmaz		
AM5	4,787,899	Nov., 1988	Lazarus		
AN5	4,787,900	Nov., 1988	Yannas		
AO5	4,793,348	Dec., 1988	Palmaz		
AP5	4,794,928	Jan., 1989	Kletschka		
AR5	4,795,458	Jan., 1989	Regan		
AS5	4,800,882	Jan., 1989	Gianturco		
AT5	4,813,925	Mar., 1989	Anderson Jr. et al.		
AU5	4,830,003	May, 1989	Wolff et al.		
AV5	4,842,575	Jun., 1989	Hoffman, Jr. et al.		
AW5	4,848,343	Jul., 1989	Wallsten et al.		
AX5	4,856,516	Aug., 1989	Hillstead		
AY5	4,870,966	Oct., 1989	Dellon et al.		
AZ5	4,875,480	Oct., 1989	Imbert		

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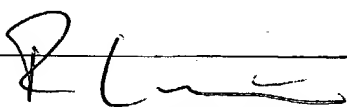
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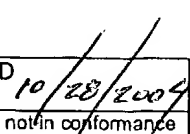
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AA6	4,877,030	Oct., 1989	Beck et al.			
AB6	4,878,906	Nov., 1989	Lindemann et al.			
AC6	4,886,062	Dec., 1989	Wiktor			
AD6	4,892,539	Jan., 1990	Koch			
AE6	4,892,541	Jan., 1990	Alonso			
AF6	4,893,623	Jan., 1990	Rosenbluth			
AG6	4,907,336	Mar., 1990	Gianturco			
AH6	4,913,141	Apr., 1990	Hillstead			
AI6	4,922,905	May, 1990	Strecker			
AJ6	4,923,464	May, 1990	DiPisa, Jr.			
AK6	4,950,227	Aug., 1990	Savin et al.			
AL6	4,954,126	Sep., 1990	Wallsten			
AM6	4,969,458	Nov., 1990	Wiktor			
AN6	4,969,890	Nov., 1990	Sugita et al.			
AO6	4,986,831	Jan., 1991	King et al.			
AP6	4,990,155	Feb., 1991	Wilkoff			
AR6	4,994,071	Feb., 1991	MacGregor			
AS6	4,998,539	Mar., 1991	Delsanti			
AT6	5,002,560	Mar., 1991	Machold et al.			
AU6	5,007,926	Apr., 1991	Derbyshire			
AV6	5,015,253	May, 1991	MacGregor			
AW6	5,019,085	May, 1991	Hillstead			
AX6	5,019,090	May, 1991	Pinchuk			
AY6	5,026,377	Jun., 1991	Burton et al.			
AZ6	5,034,001	Jul., 1991	Garrison et al.			

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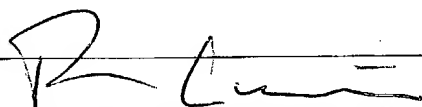


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AA7	5,035,706	Jul., 1991	Gianturco et al.			
AB7	5,037,377	Aug., 1991	Alonso			
AC7	5,037,392	Aug., 1991	Hillstead			
AD7	5,037,427	Aug., 1991	Harada et al.			
AE7	5,041,126	Aug., 1991	Gianturco			
AF7	5,059,211	Oct., 1991	Stack et al.			
AG7	5,061,275	Oct., 1991	Wallsten et al.			
AH7	5,062,829	Nov., 1991	Pryor et al.			
AI7	5,064,435	Nov., 1991	Porter			
AJ7	5,071,407	Dec., 1991	Termin et al.			
AK7	5,078,726	Jan., 1992	Kreamer			
AL7	5,078,736	Jan., 1992	Behl			
AM	5,084,065	Jan., 1992	Weldon et al.			
AN7	5,089,005	Feb., 1992	Harada			
AO7	5,089,006	Feb., 1992	Stiles			
AP7	5,092,877	Mar., 1992	Pinchuk			
AR7	5,102,417	Apr., 1992	Palmaz			
AS7	5,104,399	Apr., 1992	Lazarus			
AT7	5,108,416	Apr., 1992	Ryan et al.			
AU7	5,108,417	Apr., 1992	Sawyer			
AV7	5,122,154	Jun., 1992	Rhodes			
AW7	5,123,917	Jun., 1992	Lee			
AX7	5,133,732	Jul., 1992	Wiktor			
AY7	5,163,958	Nov., 1992	Pinchuk			
AZ7	5,192,307	Mar., 1993	Wall			

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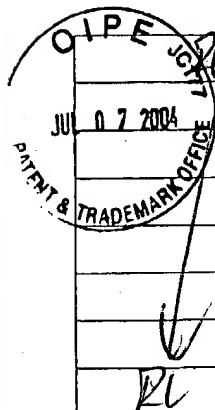
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AA8	5,195,984	Mar., 1993	Schatz			
AB8	5,226,913	Jul., 1993	Pinchuk			
AC8	5,314,444	May, 1994	Gianturco			
AD8	5,653,727	Aug., 1997	Wiktor			
AE8	5,902,332	May, 1999	Schatz			
AF8	6,066,168	May., 2000	Lau et al.			
AG8	6,113,621	Sep., 2000	Wiktor			
AH8	RE34327	Jul., 1993	Kreamer			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
RL	AI8	DE 837122	Jul., 1949	Germany			Yes No
	AJ8	DE 2410933	Sep., 1974	Germany			Yes No
	AK8	DE 3205942	Sep., 1983	Germany			Yes No
	AL8	DE 3640745	Jun., 1987	Germany			Yes No
	AM8	EP 0 183 372	Jun., 1986	EPO			Yes No
	AN8	EP 0 177 330	Sep., 1986	Europe			Yes No
	AO8	GB 1205743	Sep., 1970	Great Britain			Yes No
	AP8	GB 2092894	Aug., 1982	Great Britain			Yes No
	AR8	GB 2135585	Sep., 1984	Great Britain			Yes No
	AS8	JP 60-500520	Apr., 1985	Japan			Yes No
	AT8	JP 61-41444	Feb., 1986	Japan			Yes No
	AU8	SU 1217402	Mar., 1986	Soviet Union			Yes No
	AV8	SU 1457921	Sep., 1987	Soviet Union			Yes No
	AW8	SU 660689	May., 1979	Soviet Union			Yes No
RL	AX8	SU 764684	Sep., 1980	Soviet Union			Yes No

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AA9	WO 83/03752	Nov., 1983	PCT			Yes
AB9	WO 89/01798	Mar., 1989	WIPO			No
OTHER (Including Author, Title, Date, Pertinent Pages, etc.)						
AC	9	9 Journal of the American College of Cardiology 2 (Supplement A):106A (from Abstracts of the 36.sup.th Annual Scientific Session, American College of Cardiology, New Orleans, Louisiana, Mar. 8-12, 1987), Elsevier (Feb. 1987).				
AD	9	Baier RE, Dutton RC, "Initial events in interaction of blood with a foreign surface," J Biomed Mater Res Symp 1969; 2: 191-206.				
AE	9	Balko et al., "Transfemoral Placement of Intraluminal Polyurethane Prosthesis for Abdominal Aortic Aneurysm," Journal of Surgical Research 40, 305-309 (1986).				
AF	9	Becker, G.J., et al., "Simultaneous Angioplasty and Intraluminal Grafting with the Palmaz Expandable Intraluminal Graft," 72.sup.nd Scientific Assembly and Annual Meeting of the Radiological Society of North America, Chicago (Nov./Dec. 1996). [Abstract].				
AG	9	Campbell, C.D. et al. "Expanded Microporous Polytetrafluoroethylene as a Vascular Substitute: A Two Year Follow-up," 85 Surgery, No. 2, 177-183, St. Louis: C.V. Mosby Co. (Feb. 1979).				
AH	9	Carrasco, C.H., et. al., "Expandable Biliary Endoprosthesis: An Experimental Studt," 145 AJR, 1279-1281, Baltimore: American Roentgen Ray Society (Dec. 1985).				
AI	9	Castaneda-Zuniga, W.R., Transluminal Angioplasty, New York: Thieme-Stratton, iii-207 (1983).				
AJ	9	Charnsangavej, C., et al., "Endovascular Stent for Use in Aortic Dissection: An In Vitro Experiment," 157 Radiology, 323-324, Easton PA: The Radiological Society of North America (1985).				
AK	9	Charnsangavej et al., "Stenosis of the Vena Cava; Preliminary Assessment of Treatment with Expandable Metallic Stents," 161 Radiology, 295-298 (1986).				
AL	9	Charnsangavej et al, "A New Expandable Metallic Stent for Dialtion of Stenotic Tubular Structures: Experimental and Clinical Evaluation," Houston Medical Journal, Vol. 3 (June 1987).				
AM	9	Cimochowski, G., et al., "Greenfield Filter Versus Mobin-Uddin Umbrella," 79 Journal of Thoracic and Cardiovascular Surgery, No. 3, 385-365, St. Louis: C.V. Mosby Co. (Mar. 1980).				
AN	9	Coons, H., et al., "Large-Bore, Long Biliary Endoprosthesis (Biliary Stents) for Improved Drainage," 148 Radiology, 89-94, Easton, PA: The Radiological Society of North America (Jul. 1983).				
AO	9	Cope, C., "Balloon Dilation of Closed Mesocaval Shunts," 135 AJR, 989-993, Baltimore: American Roentgen Ray Society (Nov. 1980).				
AP	9	Cragg et al., "Nonsurgical Placement of Arterial Endoprostheses: A New Technique Using Nitinol Wire," 147 Radiology, 261-263 (Apr. 1983).				
AR	9	Cragg, A., et al., "A New Percutaneous Vena Cava Filter," 141 AJR 601-604, Baltimore: American Roentgen Ray Society (Sep. 1983).				
AS	9	Cragg, A.H., et al., "Percutaneous Arterial Grafting," 150 Radiology, No. 1, 45-49, Easton PA: The Radiological Society of North America (Jan. 1984).				
AT	9	Culverwell, M. "Angioplasty Stents May Prevent Restenosis," Cardio (Jan. 1987).				
AU	9	Denny, D., et al., "Percutaneous Kimray-Greenfield Filter Placement by Femoral Vein Puncture," 145 AJR, 827-829, Baltimore: American Roengen Ray Society (Oct. 1985).				
AV	9	DePalma VA, Baier, Ford JW, Gott VL, Furuse A, "Investigation of Three Surface Properties of Several Metals and their relation to Blood Compatibility," J Biomed Mater Res Symp 1972; 3: 37-75.				

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AA	10	Deriu G., et al., "The Rationale for Patch-Graft Angioplasty After Carotid Endarterectomy: Early and Long-Term Follow-Up," 15 Stroke, No. 6, 972-979, Dallas: American Heart Association (Nov. 1984).
AB	10	DiMassa et al., ABSTRACT: "Maintenance of Longterm Arterial Patency by Implantation of a Metallic Prosthetic Device," Abstract of the 59 th Scientific Sessions, AHA, Dallas, pp. 11-363 (1986).
AC	10	Dorland's Illustrated Medical Dictionary, 26th ed., 1981 pp. 675 & 759, Philadelphia: W.B. Saunders (1981).
AD	10	Dorros G. et al., "Clinical Research: Angioplasty" Circulation Supplement 1986; 74: 1448; II363. [Abstract].
AE	10	Dotter, C., et al., "Transluminal Treatment of Arteriosclerotic Obstruction," 30 Circulation, 654-670, Dallas: American Heart Association (Nov. 1964).
AF	10	Dotter, C., "Interventional Radiology-Review of an Emerging Field," 16 Seminars in Roentgenology No. 1 (Jan. 1981).
AG	10	Dotter, C., MD et al., "Technical Developments and Instrumentation: Transluminal Expandable Nitinol Coil Stent Grafting: Preliminary Report," Radiology 147:259-260 (Apr. 1983).
AH	10	Duprat, Jr. et al., "Flexible Balloon-Expanded Stent for Small Vessels," 162 Radiology, 276-278 (1987).
AI	10	Duprat et al., "Self-Expanding Metallic Stents for Small Vessels: An Experimental Evaluation," 162 Radiology, No. 2, 469-72, (Feb. 1987).
AJ	10	Edwards, W.S., "Arterial Grafts," 113 Archives of Surgery, No. 9, 1225-1223, Chicago: AMA (Nov. 1978).
AK	10	Eichelster, P., et al., "Prophylaxis of Pulmonary Embolism," 97 Archives of Surgery, 348-356, Chicago: AMA (Aug. 1968).
AL	10	Fallone et al., "Elastic Characteristics of the Self-expanding Metallic Stents," Invest. Radiology 23:370-376 (1988).
AM	10	Fogarty, T.J., et al., "Adjunctive Intraoperative Arterial Dilation: Simplified Instrumentation Technique," 116 Archives of Surgery, 1391-1398, Chicago: AMA (1981).
AN	10	Fogarty, T.J. et al., "Current Status of Dilatation Catheters and Guiding Systems," 53 The American Journal of Cardiology, No. 12, 97C-100C, New York: Robert T. Brawn (Jun. 1984).
AO	10	Fogarty, T.J., et al., "Intraoperative Coronary Artery Balloon-Catheter Dilation," 107 American Heart Journal, No. 4, 845-851, St. Louis, C.V. Mosby (1984).
AP	10	Foubia et al., ABSTRACT: "Intracoronary Stenting of Canine Coronary Arteries After Percutaneous Coronary Angioplasty (PTCA)," Circ. Vol. 74, Supp II (Oct. 1986).
AR	10	Frimberger, E., "Expanding Spiral-A New Type of Prosthesis for the Palliative Treatment of Malignant Esophageal Stenosis," Endoscopy 15, 213-214 (1983).
AS	10	Gardner, R.J., et al., "The Surgical Experience and a One to Sixteen Year Follow-Up of 277 Abdominal Aortic Aneurysms," 135 American Journal of Surgery, No. 1, 226-230 (Jan. 1978).
AT	10	Goldstein, H., et al., "Transcatheter Occlusion of Abdominal Tumors," 120 Radiology, No. 3., 539-545, Easton, PA: Radiological Society of North America (Sep. 1976).
AU	10	Greenfield, L., et al., "A New Intracaval Filter Permitting Continued Flow and Resolution of Emboli," 73 Surgery, No. 4, 599-606, St. Louis: C.V. Mosby (Apr. 1973).
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U RL	AO	14	Dominik Wiktor Affidavit, June 15, 1990 regarding SN 07/327,286
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